

CCM Eligibility Assessment in the NFM

CCM Eligibility in the New Funding Model

CCMs must comply with the 6 Eligibility Requirements to be eligible for funding

***Eligibility Requirements** embody the good governance practices that all CCMs must meet*

Process for reviewing the 6 Eligibility Requirements has changed:

- Requirements 1 and 2 are assessed at the time of CN submission
- Requirements 3-6 are assessed annually (aligned with the NFM calendar) through a new tool – the CCM Performance Assessment tool.

Review CCM against minimum standards

Minimum requirements for CCM eligibility

1

Transparent and inclusive concept note development process

2

Open and transparent PR selection process

ER 1 and 2
assessed at
CN
submission

3

Overseeing program implementation and having an oversight plan

4

Document the representation of affected communities

5

Ensure representation of non-governmental members through transparent and documented processes

6

Develop, publish and follow a policy to manage conflict of interest that applies to all CCM members, across all CCM functions

ER 3 to 6
monitored
ongoing
basis

CCM Performance Assessment (Eligibility Requirements 3-6)

The Secretariat has developed a tool (and software) for the CCM performance assessment. This assessment is done well in advance of CN submission to allow time for any issues to be fixed.

The tool includes: indicators directly linked to the Eligibility Requirements **and** additional indicators linked to minimum standards.

Minimum Standards are criteria that will be enforceable and compulsory for grant signing as of January 2015.

Minimum standards come from the 2011 CCM Guidelines of the GF

CCM Eligibility and Performance Assessment tool

CCM Eligibility Requirement	Requirements/ Minimum Standards		Examples of documentation
Requirement 3: Recognizing the importance of oversight, the Global Fund requires all CCMs to submit and follow an oversight plan for all financing approved by the Global Fund. The plan must detail oversight activities, and must describe how the CCM will engage program stakeholders in oversight, including CCM members and non-members, and in particular non-government constituencies and people living with and/or affected by the diseases.	ER	The CCM has an oversight plan which details specific activities, individual and/or constituency responsibilities, timeline and oversight budget as part of CCM budget.	Oversight plan CCM Funding agreement
	ER	The CCM has established a permanent oversight body with adequate set of skills and expertise to ensure periodic oversight.	Oversight body terms of reference (TORs); names and CVs of OB members CCM meeting minutes;
	ER	The oversight body (OB) or CCM seeks feedback from non-members of the CCM and from people living with and/or affected by the diseases	Meeting minutes; email communications; consultation reports; oversight visit reports; and CCM website
	MS	The oversight body conducts oversight activities to discuss challenges with each PR and identifies problems, potential reprogramming and corresponding reallocation of funds between program activities, if necessary.	OB meeting minutes/reports; oversight tool; action plan to correct gaps; and CCM website
	MS	The CCM takes decisions and corrective action whenever problems and challenges are identified	Oversight tool; action plan to correct gaps; OB meetings minutes
	MS	The CCM shares oversight results with the Global Fund Secretariat and in-country stakeholders quarterly through the process defined in its Oversight Plan.	Oversight reports; email communications; CCM website

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<p>Requirement 4: The Global Fund requires all CCMs to show evidence of membership of people that are both living with and representing people living with HIV, and of people affected* by and representing people affected by Tuberculosis ** and Malaria*** as well as representation of Key Affected Populations, based on epidemiological as well as human rights and gender considerations.</p> <p><i>* Either people who have lived with these diseases in the past or who come from communities where the diseases are endemic.</i></p> <p><i>** In countries where Tuberculosis is a public health problem or funding is requested or has previously been approved for Tuberculosis.</i></p> <p><i>*** In countries where there is on-going evidence of Malaria transmission or funding is requested or has previously been approved for Malaria.</i></p> <p><i>**** The Secretariat may waive the requirement of representation of Key Affected Populations as it deems appropriate to protect individuals</i></p>	ER	The CCM ensures adequate representation of Key Affected populations ¹ taking into account the socio-epidemiology of the three diseases.	CCM Membership list
	ER	The CCM ensures adequate representation of PLWD, taking into account the socio-epidemiology of the three diseases.	CCM Membership list
	MS	The CCM has balanced representation of men and women (the Global Fund Gender Equality Strategy clarifies how women and girls are key affected groups in the context of the 3 diseases).	CCM Membership list

CCM Eligibility and Performance Assessment tool

Requirement 5: The Global Fund requires all CCM members representing non-government constituencies to be selected by their own constituencies based on a documented, transparent process, developed within each constituency. This requirement applies to all non-government members including those members representing people living with or affected by the three diseases, but not to multilateral and bilateral partners.	ER	All non-governmental constituencies represented on the CCM selected their representative(s) on their own, through a transparent and documented process.	Civil society sector meeting minutes, member endorsement letters from civil society constituencies
	MS	CCM membership comprises a minimum of 40% representation from national civil society sectors. ⁴	CCM Membership list
	MS	CCM has clearly defined processes of soliciting inputs from and providing feedback to their constituencies that selected them to represent their interests in the CCM	Work plans for civil society sector representatives, meeting minutes of civil society constituencies
	MS	The CCM elects its Chair and Vice-Chair(s) from different sectors (government, national civil society and development partners) and also follows good governance principles of periodic change and rotation of leadership according to CCM by-laws.	CCM membership list; CCM by-laws or governance manual

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Requirement 6: To ensure adequate management of conflict of interest, the Global Fund requires all CCMs to: i. Develop and publish a policy to manage conflict of interest that applies to all CCM members, across all CCM functions. The policy must state that CCM members will periodically declare conflicts of interest affecting themselves or other CCM members. The policy must state and CCMs must document that members will not take part in decisions where there is an obvious conflict of interest, including decisions related to oversight, and selection or financing PRs or SRs. ii. Apply their conflict of interest policy throughout the life of Global Fund grants, and present documented evidence of its application to the Global Fund on request.	ER	The CCM has a conflict of interest (Col) policy with rules and procedures to avoid or mitigate Col ⁵ , and CCM members sign a Col declaration form.	CCM conflict of interest policy CCM Col declaration forms; Information on number of CCM members who have signed Col declaration forms.
	ER	CCM meeting minutes demonstrate that CCMs follow the procedures to prevent, manage and mitigate Col.	5 most recent CCM meeting minutes
	MS	To guarantee effective decision making, the CCM ensures that the number of members in the CCM with Col does not exceed 1 person per constituency (excluding Members with no voting rights).	CCM Col declaration forms; Information on number of CCM members with Col; CCM meetings minutes

CCM Eligibility and Performance Assessment

The Process

1. GF will ask CCMs to request Technical Assistance (TA) to facilitate the self-assessment and produce the complete diagnostic that takes into account information from in-country stakeholders (CCM Members and beyond).
2. In case of non-compliance (Non or Intermediate compliant), the TA providers will support the CCM to elaborate an improvement plan for compliance.
3. The GF Secretariat will check/validate the proposed improvement plan (in consultation with partners - TBD).
4. If the Eligibility Requirements are met, GF will grant a *CCM Eligibility clearance* for a year. Otherwise, the improvement plan progress will be checked when a Concept Note is submitted. If the progress made are aligned with the agreed plan, the GF will consider that the CCM is eligible otherwise, not. In such case, the CCM will have to fix the outstanding issues before the Concept Note can be processed by GF.

CCM Eligibility and Performance Assessment

The three pillars of the Assessment

